

Application for Admission as Member
at Eurex US

Eurex US
c/- US Representative Office
Deutsche Börse AG/Eurex
311 South Wacker Drive, Suite 3750
Chicago, IL 60606

Applicant

Name of applying company

Street Address

City, State, ZIP Code, Country

Name of contact person

Phone No

Fax No.

E-mail address

I. We herewith apply for admission, pursuant to Rule 303 of the Exchange Rules, to participate in exchange trading at Eurex US. We declare that we are duly organized, existing and in good standing under our law of jurisdiction.

II. The following entity is to be admitted as a member:

our principal office or our branch office

Name of office

Full address of office

III. We declare that as far as legally permissible, we are entering into forward transactions

for our own account (proprietary business) and/or

in our own name for the account of third parties (agency business).
Herewith we confirm that the assignment method in our non-proprietary or agent position account complies with Rule 403 (f)

IV. We will use the following clearing relationship:

Clearing directly at BoTCC; Clearing Accounts at BoTCC

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If not, Clearing directly at BoTCC, clearing takes places via BoTCC Clearer with the account code

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V. In our country of origin, we are registered/admitted as

- | | |
|--|-------------------------------------|
| <input type="checkbox"/> Credit Institution/Bank | <input type="checkbox"/> Broker |
| <input type="checkbox"/> Financial Institution | <input type="checkbox"/> FCM/Broker |

Other : _____

by: _____ (Supervisory Authority)

not registered

Where relevant, we enclose evidence regarding such registration/admission and its scale.

VI. The following persons entrusted with the representation of the company's business are to be registered as „Nominated Person“ pursuant to Rule 303 (b) of the Exchange Rules:

First name, name and position within the company

First name, name and position within the company

(Please list additional persons on a separate enclosure, if required)

Any changes regarding the persons stated above must be notified immediately in writing to the management of Eurex US.

VII. We declare that the persons listed above under VI.

- have been informed about all relevant legal provisions for trading at Eurex US ;
- where relevant, have the reliability and professional qualification required for exchange trading. In particular, we do not know of any circumstances which, during his/her activities with our company or in earlier times, have given rise to suspicions about the personal reliability and professional qualification, or which may lead to the conclusion of such suspicions. In the case of such circumstances occurring and/or becoming known after the admission has taken place, we will notify Eurex US immediately.

VIII. The following persons are senior officers (i.e. Director, Officers, partners or others who control the entity) of our company:

Name: _____ Title: _____

Address: _____ Phone Number: _____

Fax Number: _____ Email Address: _____

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 Address: _____ Phone Number: _____
 Fax Number: _____ Email Address: _____

Name: _____ Title: _____
 Address: _____ Phone Number: _____
 Fax Number: _____ Email Address: _____

(Please list additional persons on a separate enclosure, if required)

IX. Information pursuant to Rule 304 (Denial of Admission) of the Exchange Rules:

If the answer is “yes” to any of the following questions, a list must be attached which gives complete details (i.e. dated, parties, circumstances and disposition). Furthermore official documents must be included.

<p>? ? Yes No</p>	<p>Has the applying company and/or any person mentioned above ever been convicted of any felony or misdemeanour involving, arising from, or related to, the purchase or sale of any Commodity, security, futures contract, option or other financial instrument?</p>
<p>? ? Yes No</p> <p>? ? Yes No</p>	<p>Has the applying company and/or any person mentioned above ever been convicted of any felony or misdemeanour involving, arising from fraud or moral turpitude?</p> <p>Is the applying company and/or any person mentioned above permanently or temporarily enjoined by order, judgement or decree of any court of competent jurisdiction or any Governmental Agency from engaging in or continuing any conduct or practice in connection with the purchase or sale of any Commodity, security, futures contract, option or other financial instrument?</p>
<p>? ? Yes No</p>	<p>Is or has the applying company and/or any person mentioned above been subject to an order of the Commission denying the Person trading privileges on or subject to the rules of any registered entity?</p>
<p>? ? Yes No</p>	<p>Has the applying company and/or any person mentioned above had any fine, cease and desist order, denial of trading privileges, censure or other sanction or discipline (whether through an adverse determination, voluntary settlement or otherwise) imposed on by any Government Agency?</p>
<p>? ? Yes No</p>	<p>Has the applying company and/or any person mentioned above ever been expelled from, suspended by or subject to any other disciplinary action (whether through an adverse determination, voluntary settlement or otherwise) imposed on by any SRO?</p>
<p>? ? Yes No</p>	<p>Is the applying company and/or any person mentioned above subject to any material unsatisfied judgements, the enforcement of which has not been stayed by a court of competent jurisdiction?</p>

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X. We declare that we have not made any false or misleading statement in or in connection with any application filed with Eurex US.

XI. We declare that we know the Eurex US Bylaws and Rules and Regulations and that we agree to abide by them in their relevant current version.

XII. If we do not have our principal place of business in Chicago, Illinois, we consent to the jurisdiction of the federal and state courts in Chicago, Illinois, in any action or proceeding brought by Eurex US.

XIII. We declare that we have been informed about all relevant legal provisions for trading at Eurex US.

XIV. For the evidence of the admission requirements, we have enclosed the required documents and evidence, the correctness of which we herewith confirm.

Place and Date

Company name and signature
(Name in Capitals)